

## Form to change from the default basis on joining the Alstom UK Group Personal Pension Plan with Aviva

As an employee of ALSTOM Transport UK Ltd (the 'Company'), you have the opportunity to join the Alstom UK Group Personal Pension Plan with Aviva (the 'Alstom UK GPP'). You only need to complete this form if you want to change from the default contribution rate.

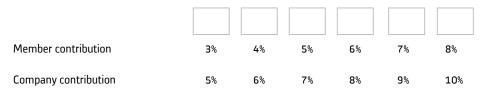
### Section 1 – Your personal details

Please use BLOCK CAPITA	LS						
National Insurance No:						]	Date of Birth:////
Surname:		 	 	 	 I	nitials:	. Title:
Home address:		 	 	 	 		
	•••••	 	 	 	 	Postcode:	
Contact telephone numbe	r:	 	 	 	 		

### Section 2 – Your ordinary contributions

Please tick the relevant box below to confirm the rate of ordinary member contributions you would like your Alstom GPP account to receive. The contributions shown are a percentage of your Pensionable Earnings. Remember that the instructions you confirm for your ordinary contributions will apply until the next annual renewal (next April).

I have ticked the relevant box below to indicate the rate of member contributions I would like my Alstom UK GPP account to receive until the next annual renewal, along with the corresponding contribution the Company will pay.



I understand that unless the Company confirms otherwise, my ordinary contributions will be paid through the Alstom Salary Sacrifice for pension contributions scheme.

If you do not complete this section, it will be assumed that you have chosen an ordinary member contribution rate of 3% of your Pensionable Earnings until the next annual renewal. As shown in the table above, this means the Company will contribute a further 5% to your Alstom UK GPP account.

### Section 3 – Additional Voluntary Contributions

Please complete this section if you want to pay regular Additional Voluntary Contributions (AVCs) in the Alstom UK GPP. Remember that the instructions you confirm for any regular AVCs made through Salary Sacrifice will apply until the next annual renewal (next April).

Bear in mind that unlike your ordinary contributions, the Company does not match any AVCs you choose to pay. This means that if you are considering AVCs but have selected an ordinary contribution rate of less than 8%, you should first consider increasing the level of these ordinary contributions so that you are maximising the contributions that the Company will make towards your retirement savings in the Alstom UK GPP.

#### I wish to pay the following regular AVCs to my Alstom UK GPP account:

A percentage of my Pensionable Earnings

%

I have ticked the relevant box below to confirm how I want my regular AVCs to be paid.

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I want to make my regular AVCs through Salary Sacrifice for pension contributions. I understand that I will not be able to change the amount of AVCs being made through Salary Sacrifice until the next annual renewal (next April), unless I experience a qualifying lifestyle event in the meantime. However, I will be able to make further AVCs outside Salary Sacrifice at any time. This includes regular and one-off AVC payments.

OR

I do not want to arrange my regular AVCs through Salary Sacrifice for pension contributions.

If you do not complete this section, it will be assumed that you do not want to pay regular AVCs.

If you decide that you want to pay regular AVCs and do not tick one of the two boxes above, your regular AVCs will be through Salary Sacrifice for pension contributions and you will not be able to change them until next April.

### Section 4 – Investing the contributions that your GPP account receives

I understand that the contributions my GPP account receives will initially be invested in the default option, the Alstom Income Drawdown Lifestage Default Approach (as explained in the guide called 'Your lifestage investment approach and core fund choices') and a target retirement age of 65 will be applied to my membership. I also understand that I can change my investment arrangements and/or my target retirement age once my policy with Aviva has been established (after my Alstom UK GPP account has received its first contribution).

For details of the range of investment options available to you, and instructions on how to change the way your Alstom UK GPP account is invested, please see 'Your lifestage investment approach and core fund choices'.

### Section 5 – The declaration and your signature

- I confirm that I wish to join the Alstom UK GPP insured by Aviva on the basis indicated above, rather than the default basis.
- I confirm that the total contributions, in respect of which I am entitled to tax relief, to all UK pension schemes on my behalf does
  not exceed the higher of £3,600 or 100% of my relevant UK earnings (broadly earnings from employment or self-employment). I
  shall advise Aviva within 30 days if I cease to have relevant UK earnings.
- I consent to my employer (including its agents, and any agents of mine) to arrange for me to join the Alstom UK GPP and to pass any information about me, which might reasonably be needed to set up a policy for me under the Alstom UK GPP to Aviva and the insurers of the ALSTOM UK Group Life Assurance Scheme and the Alstom UK Income Replacement Scheme and to any financial adviser connected to these arrangements.
- I confirm that I am a resident in the UK and I will inform Aviva if I cease to be a UK resident.

Your signature: ..... Date : .....

Please return your completed form to:

Alstom Employee Services, 12<sup>th</sup> Floor, Quayside Tower, 252-260 Broad Street, Birmingham B1 2HF



### To be filled in by Payroll

PLEASE USE BLOCK CAPITALS

I confirm that the payroll records have been updated to show that the member has joined the Alstom UK GPP or changed from the default contribution rate on joining, as appropriate.

Please fill in your details below, keep a copy of this form for your records and return a signed copy to AES (see address on Page 2).

Your signature:..... Date:.....

Your name:....

Your position:.....